

Canadian Lawyers Liability Assurance Society

2016/2017 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP
2. Address of principal office: 2100 - 40 King Street West
Toronto, ON M5H 3C2
Phone: (416) 869-5300 Fax: (416) 360-8877
3. Address, phone and fax numbers of other office(s):
Suite 2200, 885 West Georgia Street
Vancouver, BC V6C 3E8
(P) 604-691-6100 (F) 604-691-6120
4. Management or service companies, date(s) established and services provided:
Please see attached
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2016:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

| | <u>This Year</u> | <u>Last Year</u> |
|---------------------------------|------------------|------------------|
| a) Corporate and Commercial Law | 24.0 % | 23.8 % |
| b) Criminal Law | 0.0 % | 0.0 % |
| c) Family Law | 0.0 % | 0.0 % |
| d) Intellectual Property | 4.8 % | 4.8 % |
| e) Labour Law | 1.7 % | 1.9 % |
| f) Litigation | 14.0 % | 15.4 % |
| g) Real Estate | 9.1 % | 8.8 % |
| h) Securities Law | 23.5 % | 24.3 % |
| i) Tax Matters | 1.9 % | 1.7 % |
| j) Wills, Estates, Trust | 1.0 % | 0.7 % |
| k) Other (please specify) | 19.9 % | 18.6 % |
| Financial services | | |

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2015. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

| | |
|--|---|
| <input type="checkbox"/> \$10M xs \$160M | <input type="checkbox"/> \$20M xs \$160M |
| <input type="checkbox"/> \$30M xs \$160M | <input type="checkbox"/> \$40M xs \$160M |
| <input type="checkbox"/> \$50M xs \$160M | <input checked="" type="checkbox"/> \$60M xs \$160M |

Note: CLLAS has conducted a limit benchmarking study and additional limits are available.

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.
16. Please complete Appendix H to provide underwriting information with respect to cyber liability.
17. Please attach as Appendix I copy of the Firm's 2016 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Gordon T. Goodman
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

March 16, 2016

Question 4: Management or service companies, dates(s) established and services provided:

| Name | Date Established | Services Provided |
|---------------------------------------|-------------------------|--|
| Scougall Services Limited Partnership | 1998 | Administrative and Staffing Services |
| CBB Services (BC) Limited | 2012 | Attorney for Service (Corporate Records) |
| Scougall Management (1987) Limited | 1987 | Agency services for Toronto and Vancouver office rent, leasehold improvements and related financing. |

APPENDIX A
PREDECESSOR FIRMS

Name of Firm: Cassels Brock & Blackwell LLP

Cassels Brock & Blackwell

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2016

Name of Firm: Cassels Brock & Blackwell LLP

| | CANADA | | | | | OUTSIDE OF CANADA ^{/5} | |
|---|-------------|----------------|----------------|---------------|---|---------------------------------|----------------------------|
| | <u>B.C.</u> | <u>Alberta</u> | <u>Ontario</u> | <u>Quebec</u> | <u>Other Provinces (Please specify)</u> | <u>U.S.</u> | <u>Other Locations</u> |
| a) No. of Lawyers ^{/1} | 7 | | 126 | | | | |
| b) No. of Patent & Trademark Agents ^{/2} | 0 | | 1 | | | | |
| c) No. of Non-lawyer Consultants ^{/3} | 1 | | 0 | | | | |
| d) No. of Paralegals | 4 | | 23 | | | | |
| e) No. of Other Employees | 16 | | 239 | | | | |
| f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4} | 10 | | 42 | | | | |

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

| Timekeeper | Last | First | Title | Bar Date | Location | LSUC |
|------------|-------------|----------------|----------------|----------|----------|--------|
| 1 00053 | PINOS | J.D. TIMOTHY | PARTNER | 1/1/1980 | TOR | 20027U |
| 2 00064 | PLENER | SHELDON | PARTNER | 1/1/1978 | TOR | 17904B |
| 3 00072 | KLIGMAN | ROBERT D. | PARTNER | 1/1/1980 | TOR | 19947K |
| 4 00079 | PAGE | S. JOHN | PARTNER | 1/1/1980 | TOR | 19650Q |
| 5 00087 | NORTON | CHRISTOPHER B. | PARTNER | 1/1/1983 | TOR | 22845E |
| 6 00095 | SILVER | LORNE S. | PARTNER | 1/1/1984 | TOR | 24238L |
| 7 00107 | CLARK | W. BRUCE | PARTNER | 1/1/1981 | TOR | 20880B |
| 8 00119 | BURDEN | WILLIAM J. | PARTNER | 1/1/1976 | TOR | 15550F |
| 9 00122 | WIDNER | ANNE-MARIE | PARTNER | 1/1/1985 | TOR | 25593W |
| 10 00130 | SHAW | GEOFFREY B. | PARTNER | 1/1/1986 | TOR | 26367J |
| 11 00143 | ZAKAIB | GLENN M. | PARTNER | 1/1/1983 | TOR | 23320F |
| 12 00162 | REEVE | J. BRIAN | PARTNER | 1/1/1982 | TOR | 21828Q |
| 13 00179 | DUNNE | PETER A. | PARTNER | 1/1/1988 | TOR | 29019C |
| 14 00181 | MCGOWAN | JOHN P. | PARTNER | 1/1/1986 | TOR | 26754K |
| 15 00186 | WATTERWORTH | ANN L. | PARTNER | 1/1/1989 | TOR | 29730C |
| 16 00197 | REDMOND | DAVID A. | PARTNER | 1/1/1987 | TOR | 27474Q |
| 17 00211 | MANZER | ALISON R. | PARTNER | 1/1/1979 | TOR | 18514A |
| 18 00213 | GOTLIEB | MAXWELL | PARTNER | 1/1/1977 | TOR | 16808H |
| 19 00216 | GOODMAN | GORDON P. | PARTNER | 1/1/1991 | TOR | 32266J |
| 20 00221 | PETERSON | DAVID R. | PARTNER | 1/1/1969 | TOR | 11660O |
| 21 00236 | COHEN | ROBERT B. | PARTNER | 1/1/1991 | TOR | 32187D |
| 22 00238 | WARD | DAVID S. | PARTNER | 1/1/1992 | TOR | 33541W |
| 23 00254 | YOUNG | MARK I. | PARTNER | 1/1/1980 | TOR | 20135M |
| 24 00255 | MERCER | CATHY L. | PARTNER | 1/1/1986 | TOR | 25728B |
| 25 00257 | STEIN | PAUL M. | PARTNER | 1/1/1983 | TOR | 23256T |
| 26 00258 | CRAIG | JOHN H. | PARTNER | 1/1/1973 | TOR | 13475H |
| 27 00259 | ABRAMSON | LANNING J. | PARTNER | 1/1/1977 | TOR | 16589I |
| 28 00264 | BENNETT | MARK T. | PARTNER | 1/1/1995 | TOR | 36620P |
| 29 00265 | VETTESE | JOHN P. | PARTNER | 1/1/1995 | TOR | 36951J |
| 30 00271 | WILDER | LAWRENCE D. | PARTNER | 1/1/1987 | TOR | 27554S |
| 31 00276 | KOUTOULAKIS | TOM | PARTNER | 1/1/1993 | TOR | 34336Q |
| 32 00283 | GLICKMAN | LEONARD | PARTNER | 1/1/1985 | TOR | 25356I |
| 33 00284 | DOMINIQUE | BRIAN P. | PARTNER | 1/1/1989 | TOR | 29839C |
| 34 00291 | HAMILTON | ARTHUR L. | PARTNER | 1/1/1997 | TOR | 39474W |
| 35 00302 | BIRCH | JOHN N. | PARTNER | 1/1/1997 | TOR | 38968U |
| 36 00308 | WEINBERG | LAWRENCE M. | PARTNER | 1/1/1989 | TOR | 30156D |
| 37 00309 | REBACK | ANDREW | PARTNER | 1/1/1994 | TOR | 35302P |
| 38 00317 | ILIOPOULOS | ALEXANDRA | PARTNER | 1/1/1998 | TOR | 40901D |
| 39 00321 | STEINHART | GARY | PARTNER | 1/1/1981 | TOR | 21142G |
| 40 00745 | BULLEN | JASON K.S. | PARTNER | 1/1/1999 | TOR | 42203S |
| 41 00783 | FITZGERALD | ANDREA | PARTNER | 1/1/2000 | TOR | 42973V |
| 42 04339 | GLATTER | DEBORAH | ADMINISTRATION | | TOR | 22032K |
| 43 04506 | MINGAY | CAMERON A. | PARTNER | 1/1/1982 | TOR | 21789J |
| 44 04507 | ROY | JEFFREY P. | PARTNER | 1/1/1986 | TOR | 25675Q |
| 45 04515 | ACCURSI | CHAD | PARTNER | 1/1/2001 | TOR | 44483S |
| 46 04531 | BOIVIN | ANDRE | PARTNER | 1/1/1999 | TOR | 41824A |
| 47 04533 | KARN | BERNICE | PARTNER | 1/1/1986 | TOR | 25846K |
| 48 04536 | BELLISSIMO | JOSEPH J. | PARTNER | 9/1/2002 | TOR | 46555R |
| 49 04537 | BELLISSIMO | EVA | PARTNER | 9/1/2002 | TOR | 47066W |
| 50 04551 | CHUMMAR | NOBLE | PARTNER | 2/1/2002 | TOR | 45616Q |
| 51 04557 | LEISK | SIGNE | PARTNER | 2/1/2002 | TOR | 45455Q |
| 52 04591 | KNOL | LINDA | PARTNER | 1/1/2003 | TOR | 47837C |
| 53 04600 | LAROSE | EMILY | PARTNER | 9/1/2002 | TOR | 46766K |

| Timekeeper | Last | First | Title | Bar Date | Location | LSUC |
|------------|-----------|-------------|----------------|----------|----------|--------|
| 54 04605 | SELZNICK | STEPHEN | PARTNER | 1/1/1979 | TOR | 18593C |
| 55 04613 | MUCHNIK | PAUL | PARTNER | 1/1/1988 | TOR | 28583P |
| 56 04641 | GRAY | TILLY | ADMINISTRATION | 1/1/2004 | TOR | 49559L |
| 57 04642 | HENEIN | PETER | PARTNER | 1/1/2004 | TOR | 49330K |
| 58 04643 | KING | JAY | PARTNER | 1/1/2004 | TOR | 49821I |
| 59 04649 | CHISICK | CASEY M. | PARTNER | 1/1/1999 | TOR | 46572R |
| 60 04698 | LAPALME | LAURIE | PARTNER | 1/1/2000 | TOR | 43511B |
| 61 04720 | KAPSALES | TOM | PARTNER | 1/1/1998 | TOR | 40502Q |
| 62 04736 | MERCIER | MARC | PARTNER | 1/1/1993 | TOR | 35009R |
| 63 04737 | NEWMAN | CHARLES | PARTNER | 2/1/1997 | TOR | 39215F |
| 64 04757 | ARBUCK | JASON | PARTNER | 1/1/1999 | TOR | 42178M |
| 65 04781 | HALL | TERENCE | PARTNER | 1/1/1983 | TOR | 23072U |
| 66 04783 | BRADY | ERIN | CONTRACT | 1/1/2006 | TOR | 53042N |
| 67 04795 | WOOLFORD | LUKE | PARTNER | 1/1/2006 | TOR | 52723J |
| 68 04883 | FIRSTEN | JAMIE | PARTNER | 1/1/2007 | TOR | 54259Q |
| 69 04892 | SERNOSKIE | JASON | ASSOCIATE | 1/1/2007 | TOR | 54425H |
| 70 04924 | CLEMENTS | LINDSAY | PARTNER | 2/1/2002 | TOR | 45362J |
| 71 04938 | HERSH | CHRIS | PARTNER | 1/1/2000 | TOR | 43080N |
| 72 04952 | BOUZALAS | PETER | PARTNER | 1/1/2000 | TOR | 43427E |
| 73 04961 | ZORDEL | HEATHER | PARTNER | 1/1/1989 | TOR | 30182B |
| 74 04968 | CHOI | NANCY | PARTNER | 1/1/2005 | TOR | 50708C |
| 75 04974 | BLACKBURN | JED | ASSOCIATE | 1/1/2008 | TOR | 55767C |
| 76 04983 | ROBINSON | FRANK | ASSOCIATE | 1/1/2008 | TOR | 55957L |
| 77 04999 | FLEISHER | JONATHAN | PARTNER | 1/1/1989 | TOR | 29476H |
| 78 05019 | JANETOS | JIM | PARTNER | 1/1/1992 | TOR | 33303M |
| 79 05027 | GROUND | COLIN | PARTNER | 1/1/2001 | TOR | 44563U |
| 80 05037 | FELICIO | MIHO | ASSOCIATE | 1/1/2008 | TOR | 55690W |
| 81 05071 | GOLDMAN | JAY | PARTNER | 1/1/1990 | TOR | 30670L |
| 82 05073 | CAMPBELL | JENNIFER | PARTNER | 1/1/2000 | TOR | 42811G |
| 83 05078 | MANIACI | SEAN | PARTNER | 1/1/2001 | TOR | 44616F |
| 84 05080 | SNIDER | KENNETH | PARTNER | 1/1/1983 | TOR | 22897M |
| 85 05082 | HOGAN | GREG | PARTNER | 1/1/1999 | TOR | 42258O |
| 86 05083 | GOLDSTEIN | DAVID | ASSOCIATE | 1/1/2006 | TOR | 52257C |
| 87 05084 | LYSY | ROBERT | ASSOCIATE | 2/1/2002 | TOR | 45862F |
| 88 05086 | ABUDULAI | SUHUYINI | ASSOCIATE | 1/1/2009 | TOR | 57316G |
| 89 05094 | LUNGU | MICHAEL | ASSOCIATE | 1/1/2009 | TOR | 57488P |
| 90 05133 | PIZALE | ALEXANDER | ASSOCIATE | 1/1/2007 | TOR | 53817A |
| 91 05136 | TARANTINO | ALEX | ASSOCIATE | 1/1/2005 | TOR | 50901K |
| 92 05148 | HARDY | SHANE | PARTNER | 2/1/2002 | TOR | 45423T |
| 93 05162 | WINCH | MELISSA | ASSOCIATE | 1/1/2009 | TOR | 57297K |
| 94 05203 | BECK | JOAN | PARTNER | 1/1/1996 | TOR | 36993A |
| 95 05234 | HOLLAND | STEFANIE | ASSOCIATE | 1/1/2010 | TOR | 58204S |
| 96 05244 | MAYZEL | ERIC | ASSOCIATE | 1/1/2010 | TOR | 58663P |
| 97 05280 | JESSOME | LAURIE | PARTNER | 1/1/2003 | TOR | 48080W |
| 98 05285 | FRANKEL | TED | PARTNER | 1/1/2004 | TOR | 49784Q |
| 99 05297 | BREEN | GEOFFREY | ASSOCIATE | 9/1/2011 | TOR | 60040S |
| 100 05300 | VALO | REBECCA | ASSOCIATE | 9/1/2011 | TOR | 60458J |
| 101 05305 | PENDRITH | COLIN | ASSOCIATE | 9/1/2011 | TOR | 59912H |
| 102 05307 | WASYLYK | JENNIFER | ASSOCIATE | 9/1/2011 | TOR | 60619J |
| 103 05339 | GRIEVE | DEBORAH S. | PARTNER | 1/1/1986 | TOR | 26202G |
| 104 05375 | CALDERON | JOSHUA | ASSOCIATE | 1/1/2012 | TOR | 61427T |
| 105 05378 | HASAN | AFZAL | ASSOCIATE | 1/1/2012 | TOR | 61869Q |
| 106 05380 | HORKINS | CHRISTOPHER | ASSOCIATE | 1/1/2012 | TOR | 61880R |

| Timekeeper | Last | First | Title | Bar Date | Location | LSUC |
|------------|----------------|-------------|-----------|-----------|----------|--------|
| 107 05385 | MARTIN | JEREMY | ASSOCIATE | 1/1/2012 | TOR | 61610K |
| 108 05390 | WANSBROUGH | JONATHAN | ASSOCIATE | 1/1/2012 | TOR | 62430G |
| 109 05447 | RONDE | DEREK | PARTNER | 1/1/2002 | TOR | 46978W |
| 110 05448 | ELLIS | LARRY | PARTNER | 1/1/2004 | TOR | 49313K |
| 111 05453 | LORD | POLLYANNA | PARTNER | 1/1/1999 | TOR | 41725G |
| 112 05459 | KIM | ANITA | ASSOCIATE | 9/1/2013 | TOR | 64103S |
| 113 05461 | MOHAJER-ASHJAI | ARDY | ASSOCIATE | 9/1/2013 | TOR | 64160R |
| 114 05465 | POTTER | CARLA | ASSOCIATE | 9/1/2013 | TOR | 64743D |
| 115 05466 | RUSSELL | CAITLIN | ASSOCIATE | 9/1/2013 | TOR | 63847M |
| 116 05468 | COHEN | CARLY | ASSOCIATE | 9/1/2013 | TOR | 64355G |
| 117 05470 | MC ELRAVY | JOEL | ASSOCIATE | 9/1/2013 | TOR | 64513S |
| 118 05471 | SASSI | MONIQUE | ASSOCIATE | 9/1/2013 | TOR | 63638L |
| 119 05477 | BOWIE | ALEXIS | ASSOCIATE | 9/1/2013 | TOR | 63569W |
| 120 05483 | PUTERMAN | JARED | ASSOCIATE | 9/1/2014 | TOR | 65683A |
| 121 05484 | VOUDOURIS | STEPHANIE | ASSOCIATE | 9/1/2014 | TOR | 65752M |
| 122 05486 | SELBY | CHRISTOPHER | ASSOCIATE | 9/1/2014 | TOR | 65702T |
| 123 05506 | CRADDOCK | ERIN | ASSOCIATE | 1/1/2009 | TOR | 62828J |
| 124 05509 | FREEMAN | JONATHAN | PARTNER | 1/1/2005 | TOR | 51289L |
| 125 05512 | LEUNG LIM | ADRIA | ASSOCIATE | 1/1/2010 | TOR | 58345D |
| 126 05520 | AHMAD | IMRAN | ASSOCIATE | 1/1/2008 | TOR | 55438A |
| 127 05521 | JIMENO | NATASHA | ASSOCIATE | 1/1/2011 | TOR | 60137J |
| 128 05527 | LITCHEN | JAMIE | ASSOCIATE | 9/1/2013 | TOR | 64915Q |
| 129 05529 | MIKELBERG | JEFFREY | ASSOCIATE | 9/1/2013 | TOR | 63778A |
| 130 05530 | SOMANI | ALYKHAN | ASSOCIATE | 9/1/2013 | TOR | 64239M |
| 131 05531 | OMOKHODION | PATIENCE | ASSOCIATE | 1/1/2014 | TOR | 65231J |
| 132 05536 | SULLIVAN | PETER | ASSOCIATE | 1/1/2005 | TOR | 61177T |
| 133 05559 | ALTER | MATTHEW | PARTNER | 1/1/1987 | TOR | 26798Q |
| 134 05562 | DICK | JUSTIN | ASSOCIATE | 9/1/2014 | TOR | 65861A |
| 135 05564 | HENDERSON | STEPHEN | ASSOCIATE | 9/1/2014 | TOR | 65534N |
| 136 05566 | LEE | JESSICA | ASSOCIATE | 9/1/2014 | TOR | 66353N |
| 137 05568 | LOEWITH | LEONARD | ASSOCIATE | 9/1/2014 | TOR | 65606N |
| 138 05569 | MOLONEY | BRITTANY | CONTRACT | 9/1/2014 | TOR | 66020E |
| 139 05571 | SIMPSON | KYLE | ASSOCIATE | 9/1/2014 | TOR | 65384D |
| 140 05575 | WOODMAN | BRYAN | ASSOCIATE | 9/1/2014 | TOR | 66146E |
| 141 05577 | CHIU | BELINDA | ASSOCIATE | 6/23/2015 | TOR | 67704K |
| 142 05579 | GOLDBERG | JAKE | ASSOCIATE | 9/26/2015 | TOR | 68420J |
| 143 05595 | KENNEDY | STEVEN | ASSOCIATE | 1/1/2009 | TOR | 56576i |
| 144 05604 | KHULLAR | SHAUN | ASSOCIATE | 1/1/2009 | TOR | 57154K |
| 145 05611 | BERMAN | WENDY | PARTNER | 1/1/1992 | TOR | 32748J |
| 146 05612 | JACKSON | LARA | PARTNER | 1/1/1999 | TOR | 41858M |
| 147 05626 | UUKKIVI | RAIVO | PARTNER | 1/1/2004 | TOR | 49932L |
| 148 05645 | BARZILAI | ZOHAR | ASSOCIATE | 1/1/2012 | TOR | 67264B |
| 149 05649 | DE LUCA | FRANK | PARTNER | 1/1/1998 | TOR | 40416E |
| 150 05663 | TAYLOR | KRISTIN | PARTNER | 1/1/1995 | TOR | 37339O |
| 151 05666 | ALVARO | MICHAEL A. | ASSOCIATE | 6/23/2015 | TOR | 67655J |
| 152 05668 | BYERS | KATE | ASSOCIATE | 6/23/2015 | TOR | 67695I |
| 153 05671 | FENDER | HILARY | ASSOCIATE | 6/26/2015 | TOR | 68406U |
| 154 05672 | FISHMAN | ROEY | ASSOCIATE | 6/24/2015 | TOR | 68081J |
| 155 05674 | LIPTON | JESSICA | ASSOCIATE | 6/24/2015 | TOR | 68163D |
| 156 05676 | SHERMAN | JONATHAN | ASSOCIATE | 9/8/2015 | TOR | 68574W |
| 157 05690 | PETERS | MATTHEW I. | PARTNER | 1/1/2002 | TOR | 47238M |
| 158 05691 | SALEM | ANDREW | PARTNER | 1/1/1998 | TOR | 41014R |
| 159 05727 | HAYMAN | ALISON | ASSOCIATE | 1/1/2003 | TOR | 48716Q |

| Timekeeper | Last | First | Title | Bar Date | Location | LSUC |
|------------|------------|--------------|----------------|----------|----------|--------|
| 160 05735 | ROBINSON | TODD | ASSOCIATE | 1/1/2008 | TOR | 55958H |
| 161 05746 | PILKINGTON | ADRIANNA | ASSOCIATE | 1/1/2010 | TOR | 59037T |
| 162 05758 | ST. CYR | MARK | ASSOCIATE | 1/1/2012 | TOR | 62390D |
| 163 05759 | KUKULOWICZ | SHAYNE | PARTNER | 1/1/1990 | TOR | 30729S |
| 164 05760 | WUNDER | MICHAEL | PARTNER | 1/1/1990 | TOR | 31351O |
| 165 05761 | JACOBS | RYAN | PARTNER | 1/1/2011 | TOR | 59510J |
| 166 05762 | DIETRICH | JANE | PARTNER | 1/1/2004 | TOR | 49302U |
| 167 05763 | LEVINE | NATALIE | ASSOCIATE | 1/1/2013 | TOR | 64908K |
| 168 05820 | WINGFIELD | DAVID R. | PARTNER | 1/1/1987 | TOR | 28710D |
| 169 05856 | ENESCU | ANDRA | ASSOCIATE | 6/1/2014 | TOR | 65881L |
| 170 05857 | HYLTON | MARLON | ASSOCIATE | 5/7/2010 | TOR | 58608I |
| 171 05865 | NANJI | SHAIRA | ASSOCIATE | 6/3/2013 | TOR | 64538J |
| 172 05885 | MCGURRAN | SEAN | ASSOCIATE | 6/1/2012 | TOR | 62587H |
| 173 05886 | LEO | SHANNON | ADMINISTRATION | 1/1/2004 | TOR | 49595D |
| 174 05912 | GIBSON | CHRISTIE E. | ASSOCIATE | 1/1/2014 | TOR | 65514C |
| 175 05918 | NICHOLAS | A. CHANDIMAL | ASSOCIATE | 1/1/2006 | TOR | 52626H |

Toronto Lawyers

| | |
|--------|-----------------------|
| TOTAL: | 175 |
| LESS: | -1 MOLONEY, Brittany |
| | -1 WIDNER, Anne Marie |
| | -1 ZORDEL, Heather |
| | -1 LORD, Pollyanna |
| | -1 PETERS, Matthew |
| | -1 GRAY, Tilly |
| | -1 LEO, Shannon |
| | -1 GLATTER, Deborah |
| ADD: | 1 JAMES MORAND |

168

| | |
|------------|------------|
| Less: PC's | 42 |
| | 126 |

| Timekeeper | Last | First | Title | Bar Date | Location | LSUC/LSBC |
|------------|-----------|-----------|-----------|-----------|----------|------------------------|
| 784 | TRAUB | JENNIFER | PARTNER | 1/1/2000 | VAN | ON: 43377H; BC: 510769 |
| 4524 | BUDD | DAVID | PARTNER | 1/1/2000 | VAN | ON: 43027V; BC: 510774 |
| 5241 | HANSEN | JENNIFER | ASSOCIATE | 1/1/2010 | VAN | ON: 58591P; BC: 510785 |
| 5599 | CHAMBERS | GORDON R. | PARTNER | 1/1/1987 | VAN | ON: N/A; BC: 8606 |
| 5601 | MURDOCH | JANE | PARTNER | 1/1/1988 | VAN | ON: N/A; BC: 9119 |
| 5622 | SPENCER | ANDREW | ASSOCIATE | 1/1/2011 | VAN | ON: N/A; BC: 510412 |
| 5624 | GILL | DEEPAK | PARTNER | 1/1/2006 | VAN | ON: N/A; BC: 506402 |
| 5641 | PREST | SAMANTHA | ASSOCIATE | 9/1/2014 | VAN | ON: N/A; BC: 511365 |
| 5655 | DURRAN | CAROLINE | ASSOCIATE | 5/29/2015 | VAN | ON: N/A; BC: 511492 |
| 5710 | POIRIER | JENNIFER | ASSOCIATE | 1/1/2011 | VAN | ON: N/A; BC: 508605 |
| 5733 | CHRISTIAN | JOHN T.C. | PARTNER | 1/1/1992 | VAN | ON: N/A; BC: 103142 |
| 5765 | PODOWSKI | DARRELL | PARTNER | 1/1/1993 | VAN | ON: N/A; BC: 500305 |
| 5809 | REDFORD | DAVID | PARTNER | 1/1/1998 | VAN | ON: N/A; BC: 502761 |
| 5823 | DVORAK | STEVEN | PARTNER | 1/1/1991 | VAN | ON: N/A; BC: 501154 |
| 5859 | DURNO | JEFF | PARTNER | 1/1/2000 | VAN | ON: 34250P; BC: 501151 |
| 5862 | COLE | SAM | ASSOCIATE | 10/1/2011 | VAN | ON: N/A; BC: 509998 |
| 5884 | NIED | MATTHEW | ASSOCIATE | 3/1/2013 | VAN | ON: N/A; BC: 509191 |

Vancouver Lawyers

TOTAL: 17

Less: PC's 10
7

Professional Corporations

| | | | |
|-----------------|----|------------------|--|
| 37 | ON | Mark Young | Mark I. Young Professional Corporation |
| 38 | BC | Darrell Podowski | DARRELL W PODOWSKI LAW CORPORATION |
| 39 | ON | David Wingfield | David Robertson Wingfield Professional Corporation |
| 40 | BC | Steven Dvorak | S. D. Dvorak Personal Law Corporation |
| | | | Steven D. Dvorak Law Corporation |
| 1-Jan-15 | | | |
| 48 | ON | Cam Mingay | Cameron A. Mingay Professional Corporation |
| 49 | ON | Alison Manzer | Alison R. Manzer Professional Corporation |
| 50 | ON | Jane Dietrich | Jane O. Dietrich Professional Corporation |
| 51 | BC | David Redford | David Redford Law Corporation |
| 52 | BC | Jeff W. Durno | Jeff W. Durno Law Corporation |
| | | | J.W. Durno Mangement Law Corporation |
| | | | |
| 1-Jan-16 | | | |
| 53 | ON | Laurie LaPalme | Laurie C. LaPalme Professional Corporation |
| 54 | ON | Raivo Uukkivi | Raivo Uukkivi Professional Corporation |
| 55 | ON | Chad Accursi | Chad Accursi Professional Corporation |
| 56 | ON | Arthur Hamilton | Arthur L. Hamilton Professional Corporation |
| 57 | BC | Deepak Gill | Deepak S. Gill Law Corporation |
| 58 | ON | James Morand | James Gerard Morand Professional Corporation |

ON
 BC

| | |
|-------|-----------------|
| 52.00 | |
| 42 | - Art. B, f) ON |
| 10 | - Art. B, f) BC |

Prof. Corps

| | | Name | Professional Corporation |
|------------------|----|-------------------------------------|--|
| 1-Jan-13 | | | |
| 1 | ON | Lanning Abramson | LANNING J. ABRAMSON PROFESSIONAL CORPORATION |
| 2 | ON | Mark Bennett | MARK T. BENNETT PROFESSIONAL CORPORATION |
| 3 | ON | Bill Burden | WILLIAM BURDEN PROFESSIONAL CORPORATION |
| 4 | ON | Bruce Clark | W. BRUCE CLARK PROFESSIONAL CORPORATION |
| 5 | ON | Peter Dunne | PETER A. DUNNE PROFESSIONAL CORPORATION |
| 6 | ON | Sheldon Plener | SHELDON PLENER PROFESSIONAL CORPORATION |
| 7 | ON | Ken Snider | KEN SNIDER PROFESSIONAL CORPORATION |
| 8 | ON | Paul Stein | PAUL STEIN PROFESSIONAL CORPORATION |
| 9 | ON | Lawrence Wilder | LAWRENCE D. WILDER PROFESSIONAL CORPORATION |
| | | Heather Zordel | HEATHER L. ZORDEL PROFESSIONAL CORPORATION |
| 10 | BC | David Budd | DAVID R. BUDD LAW CORPORATION |
| 11 | BC | Jennifer Traub | JENNIFER J. TRAUB LAW CORPORATION |
| | | Joanna Cameron | M. JOANNA CAMERON LAW CORPORATION |
| 12 | BC | Gordon Chambers | GORDON R. CHAMBERS LAW CORPORATION |
| 13 | BC | Jane Murdoch | H. JANE MURDOCH LAW CORPORATION |
| 31-Mar-13 | | | |
| 14 | ON | Casey M. Chisick | Casey M. Chisick Professional Corporation |
| 15 | ON | Leonard Glickman | Leonard Glickman Professional Corporation |
| 16 | ON | Bernice M. Karn | Bernice M. Karn Professional Corporation |
| 17 | ON | Gary Steinhart | Gary Steinhart Professional Corporation |
| | | Bogdan Teofilovici | Bogdan A. Teofilovici Professional Corporation |
| 18 | ON | Larry Weinberg | Lawrence M. Weinberg Professional Corporation |
| 19 | ON | Jay Goldman | Jason I. Goldman Professional Corporation |
| 20 | ON | Maxwell Gotlieb | Maxwell Gotlieb Professional Corporation |
| | | Ralph Edward Lean | Ralph Edward Lean Professional Corporation |
| 21 | ON | Marc Mercier | Marc Mercier Professional Corporation |
| | | Stephen Richard Morrison | Stephen Richard Morrison Professional Corporation |
| 22 | ON | John Vettese | John P. Vettese Professional Corporation |
| 1-Jan-14 | | | |
| 23 | ON | Matthew Alter | Matthew R. Alter Professional Corporation |
| 24 | ON | Jason Arbuck | S. Jason Arbuck Professional Corporation |
| 25 | ON | Eva Bellissimo | Eva Bellissimo Professional Corporation |
| 26 | ON | Joe Bellissimo | Joseph J Bellissimo Professional Corporation |
| 27 | ON | John Birch | John N. Birch Professional Corporation |
| 28 | BC | John T.C. Christian | JOHN T.C. CHRISTIAN LAW CORPORATION |
| 29 | ON | Jonathan Fleisher | Jonathan E. Fleisher Professional Corporation |
| 30 | ON | Gordon Goodman | Gordon P. Goodman Professional Corporation |
| | ON | Jim Janetos | James J. Janetos Professional Corporation |
| 31 | ON | Laurie Jessome | Laurie B. Jessome Professional Corporation |
| | | Brian Koscak | Brian P. Koscak Professional Corporation |
| 32 | ON | Brian Reeve | J. Brian Reeve Professional Corporation |
| 33 | ON | Geoff Shaw | Geoffrey B. Shaw Professional Corporation |
| 34 | ON | Lorne Silver | Lorne S Silver Professional Corporation |
| 35 | ON | David Ward | David S. Ward Professional Corporation |
| 36 | ON | Ann Watterworth | Ann L. Watterworth Professional Corporation |

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2016
(Excluding Patent & Trademark Agents)

Name of Firm: Cassels Brock & Blackwell LLP

SECTION A

| Professional Service Provided or Type of Profession | # Person in Same Profession | Location (Province) | Client Contact | Advise Clients | Supervised by Lawyers | Underlying Insurance ^{/1} | % of Time Docketed ^{/2} |
|---|-----------------------------------|------------------------|---|---|---|---|-------------------------------------|
| Strategic Business Advice | 1 | BC | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 0.00 |
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | |

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

| | | | |
|----------------------|--|----------------------|--|
| Type of Exposure: | | Type of Exposure: | |
| Insurance Carrier: | | Insurance Carrier: | |
| Policy Number: | | Policy Number: | |
| Period of Insurance: | | Period of Insurance: | |
| Retroactive Date: | | Retroactive Date: | |
| Limits: | \$ _____ per claim, \$ _____ aggregate | Limits: | \$ _____ per claim, \$ _____ aggregate |

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Cassels Brock & Blackwell LLP

- The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
- The Firm has offices in Toronto and Vancouver.
- The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
- From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
- From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
- In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
- In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
- In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
- There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Cassels Brock & Blackwell LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

| Name of Lawyer | Location of Office | % of Docketed Time Relating to Non-Canadian Law |
|----------------|--------------------|---|
| Ryan Jacobs | Toronto | up to 10% |
| Natalie Levine | Toronto | up to 5% |
| | | |
| | | |

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

| Name of Lawyer | Location of Office | % of Docketed Time in the U.S. Office |
|----------------|--------------------|---------------------------------------|
| | | |
| | | |
| | | |
| | | |

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

| Location of Office | # of Lawyers Practise 100% Canadian Law | # of Lawyers Practise 100% Non-Canadian Law | # of Lawyers Practise both Canadian & Non-Canadian Law |
|--------------------|---|---|--|
| | | | |
| | | | |
| | | | |
| | | | |

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2015

Name of Firm: Cassels Brock & Blackwell LLP

Please see attached.

Please note that claim 2015-22 (Bessner, Azeff) is in the process of being settled. The settlement payment will be paid by Lawpro, less insurance deductible of \$5,000, which will be paid by the firm. Please obtain information regarding this settlement from Lawpro in 30 days.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau
As At December 31, 2015

All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 93-159 | REDMOND | MARGO BOURQUE | 31-May-93 | 31-Oct-90 | 30-Jun-96 | 6 | \$0 | \$7,180 | \$0 | \$7,180 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93-160 | WIDNER | COLE BUILDING LIMITED | 30-Jun-93 | 31-Oct-90 | 04-Apr-95 | 6 | \$0 | \$2,973 | \$0 | \$2,973 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93-161 | PAGE | HAYWARD BURRY LIMITED | 31-May-93 | 30-Apr-93 | 29-May-98 | 6 | \$0 | \$22,667 | \$0 | \$23,742 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93-163 | Page | Hayward Burry Limited | 31-May-93 | 30-Apr-93 | 29-May-98 | 6 | \$0 | \$21,292 | \$0 | \$22,667 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-077 | VAKIL | ROYAL PRECISION TOOLIN | 11-Feb-94 | 10-Jan-89 | 02-May-94 | 6 | \$0 | \$3,527 | \$0 | \$5,279 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-103 | BUTSON | YORKE TOWNE DORCOTI | 26-Aug-93 | 01-Jan-91 | 26-May-94 | 6 | \$0 | \$0 | \$0 | \$859 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-104 | KIRSH | HOWARD SHAIN | 17-Aug-93 | | 28-Mar-94 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-105 | Cancellara | IONA GROUP OF COMPANIE | 13-Oct-93 | 01-Aug-93 | 01-Feb-99 | 6 | \$0 | \$3,819 | \$0 | \$3,819 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-106 | LEDREW | JAMES SKELTON | 30-Aug-93 | | 03-Dec-93 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-107 | ARTHURS | ROYAL TRUST AND LAURE | 29-Oct-93 | 01-Jan-93 | 24-Jun-94 | 6 | \$0 | \$0 | \$0 | \$417 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-108 | GOTLIEB | DIGIAMMARINO | 23-Nov-93 | 01-Nov-91 | 21-Nov-94 | 6 | \$0 | \$0 | \$0 | \$1,238 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-109 | WORLD | S. WOODLEY | 06-Nov-93 | | 25-Mar-94 | 6 | \$0 | \$606 | \$0 | \$606 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-110 | ARTHURS | D. SANDERS | 08-Nov-93 | | 31-Mar-94 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-111 | CANCELLARA | Ridolphi, Frank | 17-Mar-94 | 01-Jun-85 | 08-Mar-95 | 6 | \$0 | \$0 | \$0 | \$1,465 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-112 | STEELE | MARCO JELKIK | 01-Mar-94 | | 01-Jun-95 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-113 | BELL | CIBC | 08-Feb-94 | 01-May-92 | 14-Apr-98 | 6 | \$0 | \$0 | \$0 | \$2,526 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-114 | WESTCOTT | 248221 ONTARIO LIMITED/T | 13-Nov-93 | 01-Apr-91 | 11-Mar-96 | | \$0 | \$1,588 | \$0 | \$1,588 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-115 | CANCELLARA | DELLA TORRE, Louis | 11-Apr-94 | 01-Aug-91 | 10-Aug-94 | 6 | \$0 | \$0 | \$0 | \$552 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-116 | BARTRAM | DELLA TORRE, Louis | 12-Apr-94 | 01-May-88 | 31-Jul-96 | 6 | \$0 | \$12,351 | \$0 | \$15,491 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-117 | BURDEN | ONTARIO HOSPITAL ASSOC | 22-Mar-94 | 01-Feb-94 | 03-Oct-95 | 6 | \$0 | \$10,534 | \$0 | \$10,534 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-143 | Redmond | Cdn. Premier Property Invest | 30-Jun-94 | 01-Jul-93 | 26-Oct-94 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-144 | Parks | Kate Kostiw and the Kodarics | 30-Jun-94 | 01-May-94 | 31-Mar-96 | | \$40,000 | \$13,169 | \$0 | \$54,734 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-145 | Russell | Sweda Farms et al | 30-Jun-94 | 01-Jul-89 | 30-Jun-96 | 6 | \$0 | \$8,366 | \$0 | \$10,395 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-146 | Russell | Michael & Susan Douglas | 30-Jun-94 | 01-Dec-92 | 31-Dec-94 | 6 | \$0 | \$1,365 | \$0 | \$1,365 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2015
All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 94-181 | Monteleone | Elaine Cuddington | 31-May-94 | 01-Feb-94 | 06-Feb-95 | 6 | \$0 | \$0 | \$0 | \$696 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94-182 | Monteleone | Cambridge Suites Hotel | 14-Apr-94 | 01-Feb-94 | 31-Dec-94 | 6 | \$4,000 | \$0 | \$0 | \$5,984 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-003-01 | Saltman | Con Drain Company et al | 20-Jul-94 | 20-Jul-88 | 30-Jun-96 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-009 | Widner | Scotia Mortgage Corp./St. Joh | 31-Aug-94 | 01-Feb-93 | 31-Jul-96 | 6 | \$0 | \$0 | \$0 | \$883 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-066 | Plener/Doe | Bob Reiger | 31-Dec-94 | 01-Jul-91 | 31-Dec-96 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-067 | Pinos | Frederick C. Maltman | 31-Dec-94 | 01-Sep-94 | 10-Jul-97 | 6 | \$0 | \$0 | \$0 | \$1,226 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-080 | Arthurs | T.R. Turbitt | 28-Jul-94 | 01-Jan-92 | 02-Feb-95 | 6 | \$0 | \$0 | \$0 | \$523 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-093 | Steele | ACM General Contracting - DI | 31-Dec-94 | 01-Oct-94 | 09-Mar-04 | | \$0 | \$19,147 | \$0 | \$19,147 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-094 | Widner | Robert and Marlene Celej | 30-Mar-95 | 01-May-92 | 12-Dec-96 | 5 | \$16,000 | \$4,377 | \$0 | \$21,371 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-096 | McNeely/Canc | Lidda Yonge Holdings Ltd/ 33 | 28-Mar-95 | 01-Mar-78 | 13-May-97 | 6 | \$0 | \$1,179 | \$0 | \$1,179 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-117 | Law | L.B. Sheppard Estate/ D. She | 30-Apr-95 | 01-Jun-92 | 11-Jan-01 | 5 | \$10,000 | \$25,855 | \$0 | \$35,855 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 95-150 | Ellis | Mentor Networks Inc. | 27-Jun-95 | 01-Jun-95 | 10-Apr-96 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 96-003 | Mackay | Dakota Marketing | 18-Jul-95 | 01-May-95 | 31-Oct-96 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 96-008 | Kohn | Royal Insurance Company of | 15-Aug-95 | 19-Jan-95 | 17-Mar-97 | 6 | \$0 | \$8,784 | \$0 | \$8,784 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 96-103 | McCulloch | Great-West Life Assurance Co | 14-May-96 | 22-Mar-89 | 13-Dec-96 | 6 | \$0 | \$0 | \$0 | \$197 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 97-066 | Stein | Gaming Lottery Corporation/B | 17-Feb-97 | 09-Nov-94 | 14-Nov-02 | 5 | \$0 | \$259,811 | \$0 | \$259,811 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-018 | Greathex | John William Cowan | 04-Jun-97 | 03-Oct-94 | 20-Jan-98 | 6 | \$0 | \$1,845 | \$0 | \$3,202 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-019 | Gray | Aircraft Group Services (Asia) | 29-Aug-97 | 01-Jun-96 | 31-Dec-08 | | \$0 | \$369,587 | \$0 | \$369,587 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-091 | Wilder | Natraceuticals Inc. | 20-Apr-98 | 14-Aug-96 | 14-May-99 | 6 | \$0 | \$0 | \$0 | \$736 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-101 | Fernandes | Transcon Recycling Inc. | 11-Mar-97 | 03-Apr-96 | 10-Jan-01 | 6 | \$0 | \$2,159 | \$0 | \$2,159 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-102 | Roth | Nekison Engineering & Contra | 17-Feb-97 | 06-Apr-96 | 03-Jul-97 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-103 | Ellis | Mentor Networks | 27-Jun-95 | 01-Jun-95 | 23-Jan-98 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-104 | Stein | Linda Stein | 05-Jun-97 | 01-Apr-94 | 08-May-98 | 6 | \$0 | \$0 | \$0 | \$787 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-105 | Greathex | Priority Gas Marketing | | | 05-Jan-98 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2015
All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 98-106 | Armstrong | Lansing Building Supply (Onta | 01-Aug-97 | 19-Jan-81 | 22-May-98 | 5 | \$28,000 | \$1,741 | \$0 | \$29,741 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-107 | Trebus | Solness Inc. and Judith Edler | 19-Aug-97 | 22-Mar-91 | 22-Jan-98 | 6 | \$0 | \$1,232 | \$0 | \$1,232 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-108 | Silver | Shirley Schwartz & Estate of L | 26-Nov-97 | 30-Oct-97 | 21-Aug-01 | 5 | \$330,000 | \$43,226 | \$0 | \$368,226 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-109 | Walker | Lorena Kerri/John Hepworth/M | 01-Dec-97 | 01-Jan-95 | 24-Apr-98 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-110 | Row | Kansa General/Dowdel | 23-Jan-98 | 01-Apr-96 | 30-Nov-99 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 98-119 | Bowen | Curragh Inc. | 22-Jun-98 | 01-Aug-94 | 25-Jan-99 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 99-017 | Wilder | YBM MAGNEX INTERNATIO | 28-Aug-98 | 01-Jun-97 | 30-Sep-03 | 5 | \$0 | \$1,016,561 | \$0 | \$1,016,561 | \$15,000,000 | \$1,638,403 | \$0 | \$0 | \$16,638,403 |
| 99-041 | Manzer | Congress Financial Corporatio | 01-Dec-98 | 28-Mar-96 | 11-Apr-03 | 5 | \$162,500 | \$85,392 | \$0 | \$247,892 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 99-082 | Shannon | Lorraine MacLachlan | 01-Feb-99 | 04-Jan-99 | 11-Jun-99 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 99-126 | Pinos | Emmanuel Feuerwerker | 07-Apr-99 | 09-Mar-94 | 05-Mar-02 | 6 | \$0 | \$2,603 | \$0 | \$2,603 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2000-021 | Spencer | Canadian Tire Real Estate Lim | 22-Sep-99 | 15-Jul-99 | 30-Jun-00 | 6 | \$0 | \$508 | \$0 | \$508 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2000-024 | Parks | Victor Dingley et al | 27-Sep-99 | 24-Sep-99 | 18-Nov-99 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2000-051 | Edroos | Stephen Ruscoe | 29-Sep-99 | 07-Sep-97 | 19-Nov-99 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2000-069 | Thomas | ING/Halifax formerly Guardia | 26-Jan-00 | 01-Aug-96 | 22-Feb-00 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2000-095 | McGowan | Robert Wiche and David Kings | 14-Mar-00 | 09-Sep-97 | 31-Dec-08 | | \$0 | \$6,040 | \$0 | \$6,040 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2001-005 | Steele | Ainley & Associates Ltd/Notta | 01-Aug-00 | 01-Jan-00 | 19-Sep-00 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2001-079 | Pinos | 1069362 Ontario Inc. c.o.b. as | 16-Feb-01 | 18-Oct-00 | 04-Oct-01 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2001-139 | Manzer | Dana Crunock and Voicemate | 19-Jun-01 | 01-Aug-99 | 29-Sep-06 | 6 | \$0 | \$39,362 | \$0 | \$39,362 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-027 | Mohamed | Dominion of Canada Insuranc | 23-Aug-01 | 08-Dec-99 | 17-Nov-03 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-067 | Wallace | Vince Paletta Sr. | 08-Nov-01 | 01-Mar-00 | 07-Dec-11 | 6 | \$0 | \$4,538 | \$0 | \$4,538 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-097 | Monteleone | John Stoneman, Sarah Stone | 03-Jan-02 | 16-Mar-95 | 30-Sep-05 | 6 | \$0 | \$13,351 | \$0 | \$13,351 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-134 | Roth | PCL Constructors Eastern Inc. | 04-Mar-02 | 09-Jan-02 | 03-Jan-03 | 6 | \$0 | \$1,650 | \$0 | \$1,650 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-144 | McGoey | Zurich Canada - Re Docke Sh | 01-Apr-02 | 05-Aug-00 | 31-Dec-08 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002-251 | Norton | PriceWaterhouse Cooper/Jeffr | 30-Jun-02 | 26-Jan-02 | 02-Mar-07 | 5 | \$130,000 | \$208,401 | \$0 | \$338,401 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2015
All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2003-001 | Snowden | Thomas Gugelmeier | 05-Jul-02 | 20-Sep-96 | 30-Sep-04 | 5 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-007 | Manzer | Adam, Kassam & Kassam Ok | 31-Jul-02 | 11-Dec-95 | 26-Apr-07 | 5 | \$30,000 | \$42,738 | \$0 | \$72,738 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-021 | Watterworth | Stilo International plc | 04-Sep-02 | 01-Jan-02 | 25-Jun-03 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-026 | Herbst | HSBC Bank of Canada | 20-Sep-02 | 09-Oct-01 | 22-Jul-04 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-029 | Cohen | CompuOffice Software Inc./A | 01-Oct-02 | 10-Aug-01 | 21-Nov-02 | 6 | \$0 | \$1,618 | \$0 | \$1,618 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-034 | Cancellara | Rita Tabone | 07-Oct-02 | 13-Aug-90 | 27-Jun-03 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-046 | Abdo | IMCO International Inc. (Nezih | 18-Oct-02 | 01-Aug-02 | 10-Jul-03 | 2 | \$6,404 | \$9,093 | \$0 | \$15,497 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-068 | Weinberg | Bernard Cheung and Ben Win | 18-Sep-02 | 05-Feb-99 | 31-Mar-04 | 6 | \$0 | \$18,300 | \$0 | \$18,300 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003-117 | Bowen | Woodlore Holdings Inc. | 27-Jan-03 | 01-May-00 | 17-Feb-06 | 5 | \$325,020 | \$166,260 | \$0 | \$491,280 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2004-004 | Chisick | Croatian (Toronto) Credit Unio | 23-Jul-03 | 28-Mar-03 | 25-Jul-03 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2004-056 | Ward | Great Bay Development Corp. | 04-Dec-03 | 08-Feb-01 | 15-Feb-07 | 6 | \$0 | \$7,474 | \$0 | \$7,474 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2004-092 | Angelson | Kylemore Homes Limited | 09-Jan-04 | 06-Jan-04 | 24-Oct-05 | 6 | \$0 | \$1,083 | \$0 | \$1,083 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2005-041 | Silver | Chester Waxman and Family | 14-Dec-04 | 01-Aug-88 | 31-Dec-05 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2005-042 | Kovach | GKO - S&L Engineering | 27-Oct-04 | 29-Jul-04 | 05-Oct-05 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2005-115 | Redmond | Canadian Tire Real Estate Lim | 31-Jan-05 | 24-Jul-02 | 02-Jun-06 | 5 | \$170,000 | \$17,931 | \$0 | \$187,931 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2005-128 | Peebles | Bruno Appliance and Furniture | 15-Mar-05 | 07-Mar-02 | 25-Jun-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$38,338 | \$0 | \$0 | \$38,338 |
| 2005-149 | Shannon | Frank Vescio | 15-Mar-05 | 21-Mar-94 | 31-Dec-08 | | \$0 | \$5,463 | \$0 | \$5,463 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2006-009 | Bourque | Inner City Films Inc. | 22-Jul-05 | 01-Dec-02 | 31-Dec-06 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2006-023 | Peebles | Fred Mauldin | 14-Sep-05 | | 25-Jun-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2006-046 | Peebles | John Willock | 01-Dec-05 | | 01-Feb-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2006-085 | Hamilton | Donald MacAlpine | 19-Jan-06 | 03-Mar-06 | 31-Oct-06 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-013 | Roy | Blackmont Capital Inc and Ha | 10-Aug-06 | 31-Jul-06 | 27-Dec-06 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-015 | Wright | Rhys R. Fockler and Elinor D. | 22-Aug-06 | 04-Feb-00 | 18-Aug-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-022 | Redmond | 1300497 Ontario Limited | 29-Sep-06 | 07-Feb-02 | 13-May-09 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau
As At December 31, 2015

All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|----------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2007-058 | Burshtein | Rentcash | 19-Dec-06 | 14-Dec-04 | 21-Mar-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-113 | Mercier | 2092248 Ontario Inc | 16-Nov-06 | 21-Sep-06 | 27-Feb-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-127 | Cote/O'Reilly | Copper Core Limited | 26-Apr-07 | | 04-Feb-08 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-132 | Logie | Hanna Smilovici | 11-Jun-07 | 01-Jan-07 | 28-Aug-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-141 | Manzer | Golf Town Income Trust | 25-Jun-07 | 01-Jun-98 | 28-Jun-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-142 | Silver | The Electrolinks Corporation | 20-Jun-07 | 01-Jan-07 | 12-Jul-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-158 | Silver | Claudio Piccolotto | 29-Jun-07 | 01-Feb-07 | 31-Dec-08 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007-159 | Saltman | Rennie Smythe | 28-Jun-07 | 07-Dec-95 | 24-Jun-09 | 6 | \$0 | \$6,914 | \$0 | \$6,914 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-019 | Klukach | Ellen Rosen | 30-Aug-07 | 20-Jul-04 | 09-Jun-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-028 | Selznick | Entral Group International Inc. | 11-Oct-07 | 05-Jan-09 | 30-Jun-09 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-066 | Bullen | Dr. Hans M. Dosch et al. | 19-Dec-07 | 01-Jan-06 | 24-Jun-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-074 | Karn | Novator Systems Ltd. | 26-Dec-07 | | 31-Dec-07 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-075 | O'Reilly | John Chiappetta | 20-Dec-07 | | 31-May-10 | 5 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-089 | Woolford | Unicom Financial Limited | 11-Jan-08 | 22-Nov-07 | 20-May-10 | 6 | \$0 | \$11,026 | \$0 | \$11,026 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-093 | Shannon | Tila Mo | 22-Jan-08 | | 29-Jan-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-098 | Bourque | Rogers Cable Communication | 24-Jan-08 | 01-Aug-05 | 31-Mar-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-113 | Saltman | Jeffrey Lipson (Emilio Bisceglj) | 12-Mar-08 | 18-May-01 | | | \$0 | \$558,679 | \$441,321 | \$1,000,000 | \$0 | \$0 | \$2,000,000 | \$1,500,000 | \$3,500,000 |
| 2008-123 | Durne | New Solutions Financial Corpo | 11-Apr-08 | 08-Oct-03 | 24-Aug-10 | 10 | \$0 | \$11,072 | \$0 | \$11,072 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-127 | Horst | Regional Municipality of Niaga | 24-Apr-08 | 30-Jun-07 | 22-Jul-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-132 | Roth | PCL Constructors Canada/PC | 01-May-08 | | 04-Mar-09 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-153 | Koscak | Rolf Von Fintel, Troy Hurren, J | 18-Jun-08 | 02-Jun-06 | 30-Jun-09 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-154 | Durne | Stone & Co. Corporate Funds | 19-Jun-08 | | 07-Aug-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-155 | Burden | Joseph John Polito et al | 20-Jun-08 | 14-Feb-04 | 28-Apr-09 | 6 | \$0 | \$9,496 | \$0 | \$9,496 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2008-188 | King | TSI Solutions Inc. | 30-Jun-08 | | 31-Jul-08 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As At December 31, 2015

All Provinces

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|--------------------|--|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2009-018 | Finlay | Deocharren Seelarram | 15-Aug-08 | 03-Apr-07 | 11-Jan-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-024 | Klukach | Javier Banuelos | 24-Sep-08 | 14-Apr-03 | 06-May-10 | 6 | \$0 | \$22,540 | \$0 | \$22,540 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-033 | Lapalme | Andrew Edwards | 20-Oct-08 | 15-Jun-08 | 30-Jun-09 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-048 | Peebles | Demessey Limited | 09-Nov-08 | | 25-Jun-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$5,042 | \$0 | \$0 | \$5,042 |
| 2009-049 | Saltman | Registered Canadian Amateur | 14-Nov-08 | | 09-Aug-10 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-114 | Manzer | HEPCOE Credit Union Ltd. (a | 27-Feb-09 | 31-May-01 | 10-Feb-10 | 6 | \$0 | \$3,603 | \$0 | \$3,603 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-115 | Thomas (no longer Invesk PLC | | 07-Feb-09 | | 30-Jun-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-125 | Budd | Charles Ivey | 01-Apr-09 | | 07-Apr-09 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-157 | Auty | The Second City Theatre Com | 19-Jun-09 | 02-Jan-09 | 26-Aug-09 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-183 | Yolles | Massi Corner Inc. and Bramco | 30-Jun-09 | 27-Nov-08 | 25-Jul-12 | 7 | \$0 | \$3,841 | \$0 | \$3,841 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-184 | Klukach | Pamela Stevens (Roslyn Tsao | 30-Jun-09 | 05-Oct-06 | 03-Dec-15 | 6 | \$0 | \$34,920 | \$22,032 | \$56,952 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-185 | Gottlieb | Harry Teperman and Irving Te | 30-Jun-09 | 02-Jan-94 | 22-Mar-11 | 6 | \$0 | \$22,242 | \$0 | \$22,242 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-186(a) | Marsh | Bohne Spring Industries Ltd. | 30-Jun-09 | 01-Nov-08 | 30-Mar-10 | 6 | \$0 | \$6,405 | \$0 | \$6,405 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009-186(b) | Marsh | Bohne Spring Industries Ltd. | 30-Jun-09 | | 30-Mar-10 | 6 | \$0 | \$3,570 | \$0 | \$3,570 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-003 | Lean | Earl Gales and Jenkins, Gales | 24-Jul-09 | 12-Apr-05 | 14-Jan-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-006 | Shaw | 2049080 Ontario Inc. (d.b.a. J. | 28-Jul-09 | 06-Feb-09 | 19-Aug-09 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-020 | Newman | Business Development Bank o | 03-Sep-09 | 28-Jul-06 | 18-Feb-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-025 | English | The Rose Corporation/Livia C | 22-Sep-09 | 30-Jun-08 | 25-Jan-10 | 6 | \$0 | \$919 | \$0 | \$919 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-031 | Widner | Robert Lawrie and Hawk Site I | 02-Oct-09 | 19-May-00 | 28-Jan-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-032 | Widner | Robert Lawrie and Hawk Site I | 02-Oct-09 | | 28-Jan-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-039 | Warner (no longer Woodlands by Sierra Inc. and | | 19-Oct-09 | 15-Jun-06 | 30-Sep-11 | 5 | \$50,000 | \$35,000 | \$0 | \$85,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-047 | Bourque | Ri-Go Lift Truck Ltd. | 29-Oct-09 | | 16-Nov-09 | 15 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-059 | Harris | Trillium Motor World (General | 19-Nov-09 | 07-May-09 | | | \$0 | \$1,000,000 | \$0 | \$1,000,000 | \$0 | \$3,324,160 | \$28,471,227 | \$2,204,613 | \$34,000,000 |
| 2010-062 | Leisk | Sylvette Brown and Murray Br | 24-Nov-09 | 14-Oct-09 | 21-Jan-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As At December 31, 2015

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|--------------------|------------------|--|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2010-063 | Campbell | Caspian Energy Inc. | 03-Dec-09 | 12-Jan-09 | 24-Feb-10 | 6 | \$0 | \$1,785 | \$0 | \$1,785 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-065 | Arthurs | Zurich Insurance as subrogee | 03-Dec-09 | 18-May-01 | | | \$0 | \$58,357 | \$462,364 | \$520,721 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-102 | Saltman | Jeanette Igra | 25-Feb-10 | 15-Nov-94 | 09-Sep-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-107 | Rossiter | (no longer SkyLink Aviation Holdings, LL | 10-Feb-10 | 06-Nov-08 | 25-Feb-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-112 | Gottlieb | York Super Pharmacy Ltd. an | 02-Mar-10 | 01-Oct-88 | 28-Apr-11 | 6 | \$0 | \$8,418 | \$0 | \$8,418 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-113 | Lisy | The Equitable Trust Company | 05-Mar-10 | 08-Jan-08 | 13-Nov-12 | 5 | \$215,000 | \$0 | \$0 | \$215,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-114 | Mercer | Homeland Uranium Inc. | 05-Apr-10 | 01-Jun-09 | 31-Mar-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-144 | Steinhart | The Rose Corporation | 19-Apr-10 | 13-Nov-07 | 03-Aug-10 | 2 | \$0 | \$15,824 | \$0 | \$15,824 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-147 | McGoey | Michael Reyes | 26-Apr-10 | | 31-Mar-11 | 8 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-164 | Cohen | Leigh S. Ulrich | 27-May-10 | 26-Jun-09 | 31-May-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2010-184 | Makuch | Town of Georgina | 30-Jun-10 | 30-Sep-02 | 02-May-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-006 | Machado | Eureka Importing and Distribut | 20-Aug-10 | 04-Feb-09 | 09-Nov-10 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-014 | Vettese | Royal Laser Corp. | 01-Sep-10 | 01-May-06 | 13-Jul-12 | 4 | \$0 | \$201,671 | \$0 | \$201,671 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-017 | Young | Go-Go Travel & Tours Ltd. | 17-Sep-10 | 15-Jul-10 | 30-Jun-11 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-021 | Plener | Roy A. Miakar | 30-Sep-10 | | 22-Mar-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-028 | Goldsilver | Prescott-Russell Services to C | 19-Oct-10 | 25-Jan-10 | 17-Mar-14 | 5 | \$133,000 | \$25,000 | \$0 | \$158,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-031 | Frankel | Scan Tube Steel Services | 21-Oct-10 | 30-Jul-10 | 22-Mar-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-042 | Silver | Jaystren Holdings Limited and | 08-Nov-10 | 09-Sep-05 | 23-Jul-12 | 6 | \$0 | \$28,638 | \$0 | \$28,638 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-043 | Yolles | Willowfield Winchester Inc. | 10-Nov-10 | 01-Jul-10 | 10-Mar-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-048 | Sermoskie | GE Canada Equipment Financ | 17-Nov-10 | 05-May-10 | 06-Jan-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-049 | Young | Governing Council of the Univ | 19-Nov-10 | 02-Jan-95 | 27-May-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-050 | Yolles | Dalemont Properties Inc. | 22-Nov-10 | 03-Feb-10 | 04-May-15 | 16 | \$0 | \$12,854 | \$0 | \$12,854 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-073 | Makuch | Allan Lazier (Alkar Investment | 29-Dec-10 | 01-Nov-10 | | | \$0 | \$93,554 | \$56,446 | \$150,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-088 | Reback | Mercen Canada Toronto Inc. | 13-Jan-11 | 01-Jun-10 | 31-Mar-11 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau
As At December 31, 2015

All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2011-115 | Redmond | Asa et al | 22-Feb-11 | 04-Sep-08 | 28-Jul-14 | 5 | \$7,500 | \$8,523 | \$0 | \$16,023 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-129 | Plastina | Sunview Patio Doors Ltd. | 10-Mar-11 | 06-Jan-11 | 01-Mar-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-169 | Hamilton | Helena Guerlis | 29-Apr-11 | 09-Apr-10 | | | \$0 | \$114,883 | \$247,441 | \$362,324 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-172 | Vetese | Bayfront Capital Partners Limit | 10-May-11 | 24-Mar-11 | 22-Jun-12 | 2 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-186 | Shannon | Brittney Galea and Rachel Gal | 09-Jun-11 | 25-Feb-11 | 08-Aug-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-200 | Milot | Michael Coveley and Solbyun | 01-Jan-11 | | 30-Jun-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2011-204 | Muchnik | CIBC Mortgages Inc. | 16-May-11 | 01-Jan-11 | 04-Nov-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-003 | Dickson | Fearless Investments Limited/ | 19-Jul-11 | 06-Apr-04 | 06-Nov-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-013 | Weinczok | Ascendia Brands Inc. and Asc | 09-Aug-11 | 01-Jun-08 | 31-Dec-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$9,623 | \$0 | \$0 | \$9,623 |
| 2012-032 | Frankel | Hy-Drive Technologies Ltd. et | 11-Oct-11 | 29-Jun-11 | 24-Nov-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-033 | Warner | Douglas and Jennifer Kamphu | 21-Sep-11 | | 30-Jun-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-040 | Harris | Saturn Dealers/Stuart Budd & | 28-Sep-11 | | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-051 | Glickman | Kaledoscope Entertainment In | 28-Nov-11 | 01-Jan-11 | 07-Dec-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-058 | Goldsilver | New Gold Inc. | 07-Dec-11 | 31-Dec-10 | 16-Dec-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-059 | Parks | Donald B. Dymment Holdings Li | 07-Dec-11 | 01-Feb-08 | 31-Mar-14 | 6 | \$0 | \$22,055 | \$0 | \$22,055 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-063 | Gottlieb | Beneficiaries of the Estate of | 01-Dec-11 | 28-Jul-06 | 13-Nov-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-064 | Glickman | Water & Wellness Solutions In | 16-Dec-11 | 25-Apr-11 | 07-Dec-11 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-074 | Silver | Frank Mastinsek | 22-Dec-11 | 15-Sep-06 | 27-Mar-12 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-149 | Phos | Brock University | 04-May-12 | 01-Feb-11 | 31-Dec-13 | 5 | \$22,000 | \$20,518 | \$0 | \$42,518 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-150 | Dennis | University of Toronto | 18-Apr-12 | 03-Feb-12 | 23-Nov-12 | 2 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2012-191 | McGill | Don Ferguson | 05-Apr-12 | 06-Nov-12 | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-008 | Shamesh | Cleveland Shaw | 10-Aug-12 | 07-Sep-11 | 07-Feb-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-011 | Redmond | Dr. Stuart Shanker and Mrs. Vi | 09-Aug-12 | 25-Feb-10 | 19-Feb-13 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-013 | Young | Int'l Oil and Gas Technology L | 14-Aug-12 | 01-Jun-08 | 23-Apr-15 | 6 | \$0 | \$125,486 | \$0 | \$125,486 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2015
All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|-------------------|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2013-024 | Saltman | VanderMarel Investment Corp | 27-Sep-12 | 31-Jul-11 | 25-Nov-15 | 5 | \$708,333 | \$291,667 | \$0 | \$1,000,000 | \$0 | \$34,457 | \$0 | \$0 | \$34,457 |
| 2013-038 | Blackburn | Brad Cabana | 30-Oct-12 | 09-May-12 | 22-Feb-13 | 6 | \$0 | \$13,999 | \$0 | \$13,999 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-046 | Robinson | 2180511 Ontario Limited c/a A | 23-Nov-12 | 15-Oct-11 | 07-Feb-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-050 | Butler | Victor Korchenko | 29-Nov-12 | 15-Nov-11 | 10-Jan-13 | 8 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-060 | Woolford | Wheels International Freight S | 20-Dec-12 | 14-Aug-09 | 03-Jul-13 | 2 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-065 | Mercier | Tom Baker | 28-Dec-12 | 27-Apr-12 | 31-Dec-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-084 | Wilder | Thomas Smeenk | 27-Feb-13 | 30-Jul-09 | 17-Dec-13 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-085 | O'Brien | Research Planning & Marketing | 26-Feb-13 | 01-Jul-09 | 18-Dec-13 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-092 | Cohen | Luc Lacroix et al | 06-Mar-13 | 01-May-10 | 21-Aug-14 | 6 | \$0 | \$25,864 | \$0 | \$25,864 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-096 | Chun | Step by Step Custom Orthotic | 14-Mar-13 | 06-Jun-11 | | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-142 | Leonard | Nortel Networks UK Pension T | 27-Jun-13 | 09-Sep-30 | 16-Apr-14 | 6 | \$0 | \$7,980 | \$0 | \$7,980 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-146 | Saltman | Hilco UK Limited | 01-May-13 | | 10-Mar-14 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-153 | Kovach | Transpharm Canada Inc. and | 23-May-13 | 01-Sep-11 | | | \$0 | \$46,763 | \$30,747 | \$77,510 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2013-156 | Dunne | David C. Phillips and First Lea | 30-May-13 | 19-Aug-11 | | 0 | \$0 | \$16,798 | \$0 | \$16,798 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-041 | Jessome | Bourne Mills of Canada Inc. | 08-Nov-13 | | 17-Mar-14 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-045 | Lord | Northland Resources S.A. | 18-Nov-13 | 09-Jan-13 | | | \$0 | \$8,665 | \$11,335 | \$20,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-046 | Silver | Donald Best | 10-Oct-13 | 17-Nov-09 | | | \$0 | \$22,238 | \$2,762 | \$25,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-075 | Wong | 0789725 BC Ltd & 1346012 | 21-Jan-14 | 14-May-07 | | | \$0 | \$6,539 | \$14,689 | \$21,228 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-084 | Reynal de St. Mic | CBE S.A.S. | 19-Feb-14 | 07-May-12 | 12-Jan-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-102 | Silver | BNL Entertainment Inc. et al | 22-Nov-13 | 13-Mar-07 | 11-Sep-15 | 2 | \$43,850 | \$0 | \$0 | \$43,850 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-125 | Redmond | Kinos Foods & Amfissa | 20-May-14 | 31-Dec-04 | 13-Aug-15 | 2 | \$0 | \$8,244 | \$0 | \$8,244 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-131 | Stein | The Cash Store Financial Serv | 02-Jun-14 | 26-Jul-12 | | | \$0 | \$5,506 | \$750,000 | \$755,506 | \$0 | \$0 | \$1,000,000 | \$0 | \$1,000,000 |
| 2014-146 | Tenaille | Gondwana Oil Corp | 27-Jun-14 | 16-Sep-13 | 18-Jul-14 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2014-151 | Dunne | North American Capital Inc. et | 27-Jun-14 | 01-Jan-07 | | | \$0 | \$0 | \$10,000 | \$10,000 | \$0 | \$0 | \$0 | \$0 | \$0 |

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2015
All Provinces

| CLLAS Claim Number | Lawyer Last Name | Claimant | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2015-001 | Breen | Premier Executive Suites Limit | 07-Jul-14 | 25-Jun-14 | 07-Jan-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-006 | English | Estate of Ross Smith and Tod | 16-Jul-14 | 24-Apr-11 | 22-Apr-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-011 | Ground | Extreme Venture Partners Fun | 31-Jul-14 | 29-Jul-11 | | | \$0 | \$40,357 | \$92,272 | \$132,629 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-022 | Bessner | Paul Azefi and Korin Bobrow | 25-Sep-14 | 26-Oct-12 | | | \$0 | \$18,977 | \$147,273 | \$166,250 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-029 | Horst | RoyNat Capital Inc. | 16-Oct-14 | 19-Apr-12 | 31-Mar-15 | 6 | \$0 | \$6,320 | \$0 | \$6,320 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-073 | Uukkivi | Croy Properties Inc. et al | 08-Dec-14 | 17-Oct-14 | 07-Jul-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-081 | McGill (retired) | Beneficiaries of Estate of Ruth | 17-Feb-15 | 27-Sep-11 | | | \$0 | \$3,441 | \$6,559 | \$10,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2015-144 | Robinson | Grant Thornton receiver of Ka | 02-Jun-15 | 24-Nov-14 | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2016-006 | McGowan | Achilles Media Inc | 27-Jul-15 | 24-Oct-09 | | | \$0 | \$3,899 | \$6,101 | \$10,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2016-010 | Ward | Fanotech Enviro Inc. et al v. F | 24-Jul-15 | 01-Feb-13 | 24-Sep-15 | 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2016-030 | Sernoskie | Sterling Capital Management I | 07-Oct-15 | 29-May-15 | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2016-037 | Abramson | Equity Financial Holdings Inc. | 02-Nov-15 | 11-Dec-14 | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2016-084 | Redmond | 1586896 Ontario Inc. (Reg an | 27-Jan-16 | 03-Jul-08 | | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

TOTALS: \$2,431,607 \$5,491,796 \$2,301,342 \$10,247,121 \$15,000,000 \$5,050,022 \$31,471,227 \$3,704,613 \$55,225,862

→ In settlement, please see note on Appendix F
 Cover page.

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Cassels Brock & Blackwell LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Please see attached.

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2016)

Name of Firm: Cassels Brock and Blackwell LLP

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

Risk Management Committee - John Birch, Chairman and General Counsel

The Risk Management Committee is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy.

The Committee is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

The Firm has written policies and procedures dealing with a number of risk management areas, including written policies and procedures regarding conflicts of interest, dealing with trust funds, lawyers acting as directors and officers, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, securities trading restrictions arising from confidential client information, file intake procedures and retainers.

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

The Firm's risk management policies and procedures are documented in the Firm's policy manual and include:

- client intake**
- conflict checking**
- directors and officers**
- professional errors and omissions**
- securities trading**

New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's policy manual contains copies of the Firm's risk management policies and procedures and is readily accessible on-line at all times on the Firm's intranet. Lawyers and staff are advised of updates to the Firm's risk

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2016)

Name of Firm: Cassels Brock and Blackwell LLP

management policies and procedures as they occur and appropriate amendments are reflected in the policy manual.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

File Openings

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters (under existing clients) forms that are received, the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

Conflict Inquiries

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved. In order to prevent any actual or apparent conflict of interest, each lawyer may discuss a potential conflict situation with his/her respective clients and, in appropriate circumstances with their approval, erect a confidentiality screen to ensure confidentiality and protect the interest of each client. The Risk Management Committee is advised of the confidentiality screen and a notice of the screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2016)

Name of Firm: Cassels Brock and Blackwell LLP

Retainer Letter

It is recommended that a letter of engagement, including the following details, should be written to the client before starting work:

- **Scope of work**
- **Name of the lawyer(s) providing the services**
- **Name of the principal lawyer**
- **Fees**
- **Out-of-pocket expenses**
- **Retainer and trust deposit**
- **Billing arrangements and terms of payment**
- **Termination of representation (withdrawal circumstances, etc.)**

Tickler/Follow-Up Systems

It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.

APPENDIX H
CYBER LIABILITY

Name of Firm: Cassels Brock & Blackwell LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Chief Financial Officer, Chief Information Officer

- b) Do you have a Chief Privacy Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

Director, Human Resources and Chair, Risk Management Committee

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

☒ Data at rest

☒ Data in transit

☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)

☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☐ yes ☒ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☒ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☐ yes ☒ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☐ yes ☒ no

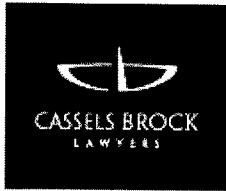


CASSELS BROCK
LAWYERS

| | | |
|-------------|---|-------------------|
| DATE | March 28, 2014 (Reviewed March 13, 2016) | MEMORANDUM |
| TO | CBB CLLAS Renewal Application | |
| FROM | Asif Virani | |
| RE | Additional Information re. Question 2 of Appendix H | |

Additional data protection in place:

- Physical, onsite access to network servers and attached storage is restricted by industry standard card reader technology. Access is limited to key IT Department and Office Services staff members.
- Login access to servers and storage devices while in the office is restricted to key IT Administrators.
- Onsite, staff members must enter a username and password combination to access files and other network resources. Staff are required to change their password on a periodic basis.
- Remote access to network resources, files and applications is facilitated via a Citrix system. Staff must enter a username and password combination. Additionally, remote access via Citrix also requires a PIN+Tokencode combination provisioned by industry accepted third party application SecurID from RSA, an EMC company.



Date: March 16, 2016

Privacy Statement

Privacy Policy

Cassels Brock & Blackwell LLP (Cassels Brock) is a full-service business law firm with offices in Toronto and Vancouver. The lawyers and staff at Cassels Brock (sometimes referred to as “we”) are committed to protecting your privacy. This Privacy Policy outlines how we handle your personal information to protect your privacy.

Privacy Legislation

Since January 1, 2004, all organizations collecting, using or disclosing personal information in Canada in the course of commercial activities have been required to comply with the *Personal Information Protection and Electronic Documents Act* (“**PIPEDA**”) and any applicable “substantially similar” provincial legislation. These obligations extend to lawyers and law firms, including Cassels Brock.

In addition, as a professional services firm, we have professional and ethical obligations to keep confidential the information we receive in the context of a lawyer-client and agent-client relationship.

Personal Information

Personal information is defined in PIPEDA as information about an identifiable individual, but does not include the name, title or business address or telephone number of an employee of an organization. In other words, it does not include the information that one expects to find on a business card (other than an e-mail address which *is considered to be personal information*).

Consent to Our Collection, Use and Disclosure of Personal Information

In most cases, we obtain your consent to collect, use and disclose your personal information. If you retain Cassels Brock, we assume that we have your implied consent to our collection, use and disclosure of your personal information for the purposes of providing legal services to you, your company or organization; however, at times we may ask for your express consent, either verbally or in writing. By providing your personal information to us, you agree that we may collect, use and disclose your personal information as outlined in this Privacy Policy.

Withdrawal of Consent

You may withdraw your consent to our collection, use and disclosure of your personal information at any time, subject to legal and/or contractual restrictions and upon reasonable notice. However your withdrawal of consent to our collection, use and disclosure of your personal information may affect our ability to represent and provide legal advice to you, your company or organization.

You can ask us not to send e-mail marketing communications to you by following the opt-out instructions in each communication or you may let us know by contacting our marketing department at unsubscribe@casselsbrock.com. If you prefer not to be contacted by other means, such as by regular mail or by phone, please provide those instructions to the lawyer or agent with whom you are dealing, or to our Privacy Officer, whose contact information is provided below.

Collection of Personal Information

Generally we collect your personal information directly from you at the start of or during the course of your retainer with Cassels Brock. We may also obtain personal information about you from other sources, such as:

- other individuals within your company or organization, where we are representing the company or organization;
- your insurance company or other financial institution;
- your real estate agent in a property transaction;
- your legal counsel in another jurisdiction, if we were retained through the other law firm;
- from a government agency or registry;
- your employer, if we are acting for you; or
- your accountant.

Use of Personal Information

We use your personal information to provide legal advice and services to you, your company or organization, to issue invoices and to maintain our database of clients.

We may also use your personal information so that we may communicate with you about recent developments in the law, keep you abreast of Cassels Brock news and invite you to our firm events.

Disclosure of Personal Information

Generally, we do not disclose your personal information to third parties without your consent unless permitted or required by applicable law. There are some situations in which we may disclose your personal information without your consent. For example:

- when we are required or authorized by law to do so, for example if a court issues a subpoena;
- where it is necessary to establish or collect fees; or

- if the information is already on the public record and the disclosure is directly related to the purposes for which it was recorded on the public record.

In some cases, your consent will be implied. For example, when the legal services we are providing to you require us to give your information to a third party (such as to a lender in a real estate mortgage transaction in which we are representing you) we would rely on your implied consent to the disclosure. If our firm is sold or merged with another firm your consent will be implied to transfer your information to the successor firm in order to continue to provide services to you, unless you tell us otherwise. If we are required to contact our insurers in connection with a claim that you have against us, we will assume that we have your implied consent to the disclosure of your personal information to the insurer.

Lastly, from time to time we may transfer your personal information to the firm's service providers. Under PIPEDA, a transfer to a service provider is not considered to be a disclosure for which your consent is required; however, we will use contractual or other means to ensure that the third party service provider is bound by obligations regarding privacy that are consistent with this Policy and our obligations under PIPEDA. Examples of transfers to service providers would be situations in which we contract with third parties to provide us with services such as archival file storage or insurance. Other examples would include cases where we engage other service providers such as expert witnesses or law firms in other jurisdictions on your behalf. Service providers may be located in various countries, so please be aware that authorized officials of governments in those countries may be lawfully able to access your personal information without your knowledge or consent pursuant to the laws of such countries. To the extent that we are permitted to do so by applicable law, we will notify you if we are advised that this access has occurred.

Accuracy of Your Personal Information

It is important that the personal information that we have on file be accurate and up-to-date. If, during the course of the retainer, any of your personal information changes, please inform us so that we can make any necessary changes. We may also ask you from time to time whether your personal information is up-to-date.

Safeguards

Cassels Brock uses various administrative and technological safeguards to ensure that your personal information is protected against loss, theft, misuse, unauthorized access, disclosure, copying or alteration. These include: security of our physical premises; our professional obligations; security software and firewalls to prevent unauthorized computer access or "hacking"; and internal passwords that restrict access to our electronic files.

Access to your Personal Information

You have a right to challenge the accuracy and completeness of your personal information and to have it amended, as appropriate. You also have a right to request access to your personal information and receive an accounting of how that information has been used and disclosed, subject to certain exceptions prescribed by law. For example, if the requested information would reveal personal information about another individual, your access may be limited or denied. If your request for access is denied, Cassels Brock will notify you in writing of the reason for the denial.

To request access or to amend your personal information, please contact the lawyer or agent with whom you

normally correspond or write to our Privacy Officer at the address below. Cassels Brock will respond within 30 days of receipt of your written request.

Challenging Compliance

Cassels Brock will respond to inquiries about its policies and practices relating to its handling of your personal information. Inquiries should be directed to Cassels Brock's Privacy Officer using the contact information below. Cassels Brock will investigate all complaints and will respond within 30 days of receipt of a written inquiry. If the complaint is found to be justified, we will take appropriate measures to resolve it, including, if necessary, amending this Policy and its procedures.

Website Privacy

Like most other organizations, we may monitor traffic patterns, site usage and related site information. Our website server will automatically collect IP addresses, and we may use a browser feature called a "cookie" to optimize your visit to our website. You may set your browser to turn cookies off during your use of any website and, in any event, when you close your browser the cookie is deleted. We do not link your IP address or cookies to other personally identifiable information.

By accessing and browsing our website, you agree that we may collect, use and disclose any personal information collected about you through our website as described in this Policy.

Privacy Officer

If you have any questions or complaints about this Policy or the handling of your personal information, if you wish to withdraw your consent to our use of your personal information, or to request access to or update any information we have on file, please contact the lawyer or agent with whom you are dealing, or contact:

Deborah Glatter
Cassels Brock & Blackwell LLP
2100 Scotia Plaza
Toronto ON M5H 3C2

dglatter@casselsbrock.com
(416) 860-6606
Toll Free (877) 869-5300, ext. 6606

Changes to this Privacy Policy

We may change this Privacy Policy from time to time. Any changes will be posted on our website at www.casselsbrock.com and will be made available upon request through your contact at Cassels Brock. Please check from time to time to ensure you are aware of our current policy. This Privacy Policy is effective June 9, 2009.

APPENDIX I

2016 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Cassels Brock & Blackwell LLP

Please see attached.

***** FIRM SUMMARY *****

LAW SOCIETY OF UPPER CANADA

Page 1 of 6

To: CASSELS BROCK & BLACKWELL LLP
 SCOTIA PLAZA
 #2100 - 40 KING ST WEST
 TORONTO, ON M5H 3C2

Invoice Date: 11 DEC 2015
 Policy Effective/Due Date: 01 JAN 2016
 Total Amount: \$618,073.20
 Account: A034880

Attention: Accounts Payable

***** PREMIUM DETAIL *****

| Description | Amount |
|-------------------------------|--------------|
| CLAIMS HISTORY LEVY SURCHARGE | \$7,500.00 |
| ADJUSTED BASE-RATED PREMIUM | \$564,790.00 |
| PST | \$45,783.20 |
| | ===== |
| *** TOTAL *** | \$618,073.20 |

***** INSTALMENT SUMMARY *****

| Instalment Date | Original Amount | Paid Amount | Open Amount |
|---------------------------|-----------------|-------------|--------------|
| ** PAYABLE 01 JAN 2016 ** | \$618,073.20 | \$0.00 | \$618,073.20 |
| | ===== | ===== | ===== |
| *** TOTAL *** | \$618,073.20 | \$0.00 | \$618,073.20 |

| | |
|---|--------------|
| Early payment discount: Before 05 FEB 2016, pay only: | \$608,893.20 |
| After 05 FEB 2016, pay: | \$618,073.20 |
| Save: | \$9,180.00 |

(Detach and return with payment)

Account: A034880
 CASSELS BROCK & BLACKWELL LLP

Due Date: 01 JAN 2016
 Instalment Total: \$618,073.20
 Balance: \$618,073.20
 Amount Enclosed: _____

Pay To: Law Society of Upper Canada
 c/o Lawyers' Professional Indemnity Company
 250 Yonge Street
 Suite 3101, P.O. Box 3
 Toronto, ON M5B 2L7

*** Please attach a copy of the Firm Summary to your cheque ***

***** FIRM SUMMARY *****

Firm: A034880

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The following members are covered on this summary:

| Member Number | Member Name | Instalment Amount | Total Premium | Outstanding Amount |
|---------------|------------------------------|-------------------|---------------|--------------------|
| A016589I | ABRAMSON, LANNING JAY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A057316G | ABUDULAI, SUHUYINI HADIA | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A044483S | ACCURSI, GARY CHAD | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A055438A | AHMAD, IMRAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A026798Q | ALTER, MATTHEW RICHARD | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A067655J | ALVARO, MICHAEL ANTHONY | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A014067K | ANGELSON, DAVID RICKEY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A042178M | ARBUCK, SIDNEY JASON | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A058204S | BALDASSARRA, STEFANIE ANN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A067264B | BARZILAI, ZOHAR | \$3,137.40 | \$3,137.40 | \$3,137.40 |
| A036993A | BECK, JOAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A047066W | BELLISSIMO, EVA | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A046555R | BELLISSIMO, JOSEPH JAMES | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A036620P | BENNETT, MARK TORRANCE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A032748J | BERMAN, WENDY RUTH | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A038968U | BIRCH, JOHN NICHOLAS | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A055767C | BLACKBURN, JEDIDIAH MONTEGU | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A041824A | BOIVIN, ANDRE MARCEL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A043427E | BOUZALAS, PETER | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A063569W | BOWIE, JUDITH ALEXIS BRIGHAM | \$2,775.60 | \$2,775.60 | \$2,775.60 |
| A053042N | BRADY, ERIN MARIE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A060040S | BREEN, GEOFFREY HANSON | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A042203S | BULLEN, JASON KENNETH SPERO | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A015550F | BURDEN, WILLIAM JOHNSON | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A067695I | BYERS, KATHLEEN NOEL | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A061427T | CALDERON, JOSHUA GABRIEL | \$3,245.40 | \$3,245.40 | \$3,245.40 |
| A042811G | CAMPBELL, JENNIFER LYNNE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A046572R | CHISICK, CASEY MARK | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A067704K | CHIU, BELINDA LI-TUEN | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A050708C | CHOI, NANCY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A034965L | CHRISTMAS, BERND STEPHEN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A045616Q | CHUMMAR, NOBLE CYRIL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A020880B | CLARK, WILLIAM BRUCE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A045362J | CLEMENTS, LINDSAY ANN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064355G | COHEN, CARLY ELYSE | \$2,667.60 | \$2,667.60 | \$2,667.60 |

***** FIRM SUMMARY *****

Firm: A034880

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| Member Number | Member Name | Instalment Amount | Total Premium | Outstanding Amount |
|---------------|--------------------------------|-------------------|---------------|--------------------|
| A032187D | COHEN, ROBERT BRIAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A062828J | CRADDOCK, ERIN MICHELLE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A013475H | CRAIG, JOHN HUNTER | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A040416E | DELUCA, FRANCIS ALBERT | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A065861A | DICK, JUSTIN SCOTT | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A049302U | DIETRICH, JANE OLIVE | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A029839C | DOMINIQUE, BRIAN PATRICK | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A029019C | DUNNE, PETER ALAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A049313K | ELLIS, LARRY CHRISTOPHER | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A063638L | EMDON, MONIQUE | \$2,883.60 | \$2,883.60 | \$2,883.60 |
| A065881L | ENESCU, ANDRA | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A068406U | FENDER, HILARY KAITLYN | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A054259Q | FIRSTEN, JAMIE DAVID | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A068081J | FISHMAN, ROEY S | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A042973V | FITZGERALD, ANDREA CATHERINE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A029476H | FLEISHER, JONATHAN EMMANUEL | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A049784Q | FRANKEL, THEODORE BLOCH | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A051289L | FREEMAN, JONATHAN | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A025356I | GLICKMAN, LEONARD | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A068420J | GOLDBERG, JACOB BEN | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A030670L | GOLDMAN, JASON IRA | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A052257C | GOLDSTEIN, DAVID AMIHAI | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A032266J | GOODMAN, GORDON PETER | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A016808H | GOTLIEB, MAXWELL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A026202G | GRIEVE, DEBORAH SUSAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A044563U | GROUND, COLIN WILLIAM | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A023072U | HALL, TERENCE DOUGLAS | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A039474W | HAMILTON, ARTHUR LLOYD | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A045423T | HARDY, SHANE DAVID | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A061869Q | HASAN, AFZAL AYNUL | \$3,137.40 | \$3,137.40 | \$3,137.40 |
| A048716Q | HAYMAN, ALISON JEAN | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A065534N | HENDERSON, STEPHEN JAMES BURRO | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A049330K | HENEIN, PETER JOSEPH | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A043080N | HERSH, CHRISTOPHER MICHAEL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A042258O | HOGAN, GREGORY JOSEPH | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A061880R | HORKINS, CHRISTOPHER BRINDLEY | \$3,029.40 | \$3,029.40 | \$3,029.40 |

***** FIRM SUMMARY *****

Firm: A034880

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| Member Number | Member Name | Instalment Amount | Total Premium | Outstanding Amount |
|---------------|---------------------------|-------------------|---------------|--------------------|
| A058608I | HYLTON, MARLON GERMAINE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A040901D | ILIOPOULOS, ALEXANDRA | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A041858M | JACKSON, LARA MARY BESS | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A059510J | JACOBS, RYAN COREY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A033303M | JANETOS, JAMES JOHN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A048080W | JESSOME, LAURIE BRIDGET | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A060137J | JIMENO, NATASHA LEI | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A040502Q | KAPSALES, TOMMY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A025846K | KARN, BERNICE MABELLE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A056576I | KENNEDY, STEVEN NOEL | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A057154K | KHULLAR, SHAUNIK | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064103S | KIM, ANITA | \$2,775.60 | \$2,775.60 | \$2,775.60 |
| A049821I | KING, JAY FRANCIS | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A019947K | KLIGMAN, ROBERT DAVID | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A047837C | KNOL, LINDA IRENE | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A034336Q | KOUTOULAKIS, TOM | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A030729S | KUKULOWICZ, ROBERT SHAYNE | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A043511B | LAPALME, LAURIE CHANTAL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A046766K | LAROSE, EMILY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A066353N | LEE, JESSICA SIMONE | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A045455Q | LEISK, SIGNE BLANKA | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A058345D | LEUNG, ADRIA WAI- LAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064908K | LEVINE, NATALIE ELAINE | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A068163D | LIPTON, JESSICA CARA | \$2,160.00 | \$2,160.00 | \$2,160.00 |
| A064915Q | LITCHEN, JAMIE ALEXANDRA | \$2,775.60 | \$2,775.60 | \$2,775.60 |
| A065606N | LOEWITH, LEONARD JACOB | \$2,305.80 | \$2,305.80 | \$2,305.80 |
| A057488P | LUNGU, MICHAEL MOSHE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A045862F | LYSY, ROBERT MICHAEL | \$6,561.00 | \$6,561.00 | \$6,561.00 |
| A044616F | MANIACI, SEAN ANTHONY SAL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A018514A | MANZER, ALISON ROSEMARY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A061610K | MARTIN, JEREMY MICHAEL | \$3,083.40 | \$3,083.40 | \$3,083.40 |
| A058663P | MAYZEL, ERIC ALAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064513S | MCELRAVY, JOEL GORDON | \$2,775.60 | \$2,775.60 | \$2,775.60 |
| A026754K | MCGOWAN, JOHN PHILIP | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A062587H | MCGURRAN, SEAN VINCENT | \$3,137.40 | \$3,137.40 | \$3,137.40 |
| A025728B | MERCER, CATHY LEE | \$3,861.00 | \$3,861.00 | \$3,861.00 |

***** FIRM SUMMARY *****

Firm: A034880

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| Member Number | Member Name | Instalment Amount | Total Premium | Outstanding Amount |
|---------------|-------------------------------|-------------------|---------------|--------------------|
| A035009R | MERCIER, RONALD JOSEPH MARCUS | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A063778A | MIKELBERG, JEFFREY PHILLIP | \$2,721.60 | \$2,721.60 | \$2,721.60 |
| A021789J | MINGAY, CAMERON ARTHUR | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A064160R | MOHAJER-ASHJAI, ARDAVAN | \$2,883.60 | \$2,883.60 | \$2,883.60 |
| A028583P | MUCHNIK, PAUL ASHLEY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064538J | NANJI, SHAIRA | \$2,667.60 | \$2,667.60 | \$2,667.60 |
| A039215F | NEWMAN, JOHN CHARLES ROSS | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A022845E | NORTON, CHRISTOPHER BRIAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A065231J | OMOKHODION, PATIENCE NWANNE | \$2,521.80 | \$2,521.80 | \$2,521.80 |
| A019650Q | PAGE, SIDNEY JOHN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A059912H | PENDRITH, COLIN DAVID | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A047238M | PETERS, MATTHEW IAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A011660O | PETERSON, DAVID ROBERT | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A059037T | PILKINGTON, ADRIANNA MONIQUE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A020027U | PINOS, JOSEPH DAVID TIMOTHY | \$6,561.00 | \$6,561.00 | \$6,561.00 |
| A053817A | PIZALE, JOHN ALEXANDER | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A017904B | PLENER, SHELDON | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A064743D | POTTER, CARLA ELIZABETH | \$2,883.60 | \$2,883.60 | \$2,883.60 |
| A065683A | PUTERMAN, JARED DOV | \$2,521.80 | \$2,521.80 | \$2,521.80 |
| A035302P | REBACK, ANDREW MAXWELL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A027474Q | REDMOND, DAVID ANTHONY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A021828Q | REEVE, JAMES BRIAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A055957L | ROBINSON, FRANK SAUL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A055958H | ROBINSON, GAVIN TODD ASHLEY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A046978W | RONDE, DEREK BART CHARLES | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A025675Q | ROY, JEFFREY PAUL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A063847M | RUSSELL, CAITLIN ANNE | \$2,667.60 | \$2,667.60 | \$2,667.60 |
| A041014R | SALEM, ANDREW EMILE | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A065702T | SELBY, CHRISTOPHER CARL | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A018593C | SELZNICK, STEPHEN IRA | \$3,753.00 | \$3,753.00 | \$3,753.00 |
| A054425H | SERNOSKIE, JASON ROBERT | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A026367J | SHAW, GEOFFREY BRACKLEY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A068574W | SHERMAN, JONATHAN DANIEL | \$2,052.00 | \$2,052.00 | \$2,052.00 |
| A024238L | SILVER, LORNE STEPHEN | \$6,561.00 | \$6,561.00 | \$6,561.00 |
| A065384D | SIMPSON, KYLE JOSEPH | \$2,359.80 | \$2,359.80 | \$2,359.80 |
| A022897M | SNIDER, KENNETH JUDD | \$3,861.00 | \$3,861.00 | \$3,861.00 |

***** FIRM SUMMARY *****

Firm: A034880

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| Member Number | Member Name | Instalment Amount | Total Premium | Outstanding Amount |
|---------------|--------------------------------|-------------------|---------------|--------------------|
| A064239M | SOMANI, ALY-KHAN | \$2,775.60 | \$2,775.60 | \$2,775.60 |
| A062390D | ST. CYR, MARK ANDRE | \$3,245.40 | \$3,245.40 | \$3,245.40 |
| A023256T | STEIN, PAUL MICHAEL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A021142G | STEINHART, GARY | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A061177T | SULLIVAN, PETER JOSEPH GREGORY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A055690W | TAMARU, MIHO | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A050901K | TARANTINO, ALEXANDER MICHAEL | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A037339O | TAYLOR, KRISTIN RACHELL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A049932L | UUKKIVI, RAIVO | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A060458J | VALO, REBECCA TALIA | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A036951J | VETTESE, JOHNNY PAUL | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A065752M | VOUDOURIS, STEPHANIE STELLA | \$2,413.80 | \$2,413.80 | \$2,413.80 |
| A062430G | WANSBROUGH, JONATHAN MARC | \$3,137.40 | \$3,137.40 | \$3,137.40 |
| A033541W | WARD, DAVID STUART | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A060619J | WASYLYK, JENNIFER ASHLEY | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A029730C | WATTERWORTH, ANN LOUISE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A030156D | WEINBERG, LAWRENCE MARK | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A025593W | WIDNER, ANNE MARIE | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A027554S | WILDER, LAWRENCE DAVID | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A057297K | WINCH, MELISSA ERICA | \$3,969.00 | \$3,969.00 | \$3,969.00 |
| A028710D | WINGFIELD, DAVID ROBERTSON | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A066146E | WOODMAN, BRYAN ROBERT | \$2,521.80 | \$2,521.80 | \$2,521.80 |
| A052723J | WOOLFORD, LUKE STEPHEN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A031351O | WUNDER, MICHAEL JOHN | \$3,915.00 | \$3,915.00 | \$3,915.00 |
| A020135M | YOUNG, MARK IAN | \$3,861.00 | \$3,861.00 | \$3,861.00 |
| A023320F | ZAKAIB, GLENN MICHAEL | \$3,807.00 | \$3,807.00 | \$3,807.00 |
| A030182B | ZORDEL, HEATHER LOUISE | \$3,861.00 | \$3,861.00 | \$3,861.00 |

170 members are listed on the summary

| | |
|---------------------|--------------|
| Instalment Amount: | \$618,073.20 |
| Total Premium: | \$618,073.20 |
| Outstanding Amount: | \$618,073.20 |